# PATENT COOPERATION TREATY

corrected

# **PCT**

REC'D 200 DEC 2001

INTERNATIONAL PRELIMINARY EXAMINATION REPORTS

(PCT Article 36 and Rule 70)

			99111			
Applicant's or agent's file reference 16230-9004	FOR FURTHER ACTION		cation of Transmittal B International Examination Report (Form PT/IPEA/416)			
International application No.	International filing date (day/m	ionth/year)	Priority date (day/month/year)			
PCT/US00/03488	08 FEBRUARY 2000		08 FEBRUARY 1999			
International Patent Classification (IPC) or national classification and IPC IPC(7): A61F 2/04, 2/06; C08G 63/91; C08J 9/26 and US Cl.: 600/36; 623/1; 521/61; 528/370						
Applicant BIOAMIDE, INC.	·					
1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.						
2. This REPORT consists of a	total of sheets.					
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).						
These annexes consist of a tot	al of <u>5</u> sheets.					
3. This report contains indication	s relating to the following it	ems:				
I X Basis of the report						
II Priority	II Priority					
III Non-establishmen	Non-establishment of report with regard to novelty, inventive step or industrial applicability					
IV Lack of unity of i	Lack of unity of invention					
V X Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement						
VI Certain documents of	VI Certain documents cited					
VII Certain defects in the	he international application					
VIII Certain observation	s on the international applicat					
		V	ERGION			

Date of submission of the demand	Date of completion of this report
07 SEPTEMBER 2000	12 FEBRUARY 2001
Name and mailing address of the IPEA/US  Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer Carol Frankli SISIS GHALI
Facsimile No. (703) 305-3230	Telephone No. (703) 308-1235

International application No.

PCT/US00/03488

I.	Ba	sis o	f the rep	rt				
1 1	With	recar	d to the <b>ele</b>	ments of the interr	national applicatio	n:*		
r		_		nal application a				
l r	믁		description		,			
L	X	nage	e •	(See Attached)				, as originally filed
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		page	es			, filed with th	e letter of	
		F6-						
	X	the c	claims:					
		page	s					, as originally filed
		page	s		<u> </u>	, as amended	(together with any	statement) under Article 19
		page	s		filed we	ith the letter of	" <del></del>	, filed with the demand
		page	es	<u>.</u>	, filed wi	un the letter of		
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L	Δ	nage	es					, as originally filed
		page	s					, filed with the demand
		page	s			, filed with the	letter of	
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	X	the s	equence l	isting part of the	description:			11 . 61 - 3
								, as originally filed
		page	s			filed with the	letter of	, filed with the demand
		page	s			, med with the	letter or	
	2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  These elements were available or furnished to this Authority in the following language which is:							
L		the la	anguage o	of a translation f	urnished for th	e purposes of in	ternational search	(under Rule 23.1(b)).
		the l	anguage o	of publication of	the internation	nal application (	under Rule 48.3(b)	).
Ī	Ŧ	the la	inguage of	the translation fur	mished for the p	ourposes of interna	itional preliminary ex	amination (under Rules 55.2 and/
L		or 55			•	•	•	
3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:								
L	╝	conta	ained in t	he international	application in	printed form.		
Г	$\neg$	filed	together	with the internat	tional applicati	on in computer	readable form.	
_ L	=		•	÷				
Ļ	furnished subsequently to this Authority in written form.							
Ĺ				sequently to this				
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.							
	The statement that the information recorded in computer readable form is identical to the writen sequence listing has been furnished.							
4.[	4. X The amendments have resulted in the cancellation of:							
		X	the desc	ription, pages	NONE			
		X		ns, Nos.	NONE			
				vings, sheets <del>/fig</del>				
ح ا		<u>ب</u>		_			haan mada sinsa 4h	ov have been considered to an
5. This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**								
i	in th	aceme is rep	nt sheets w	hich have been fur	nished to the rec	eiving Office in res	ponse to an invitation	under Article 14 are referred to stain amendments (Rules 70.16
		70.17		eet containing suc	ch amendments i	must be referred	to under item 1 and	annexed to this report.

International application No.

PCT/US00/03488

statement			
Novelty (N)	Claims	6-10, 12-15, 18-25, 27-36	YE
1	Claims	1-5, 11, 16, 17, 26	NO
Inventive Step (IS)	Claims	none	YE
	Claims	1-86	NO
Industrial Applicability (IA)	Claims	1-36	YE
industrial Applicability (IA)	Claims	none	NO
reference disclosed bioabsorbable fibers or citanium for introducing an agent into a liv  Claims 1-36 lack an inventive step under P reference teachings discussed above. Howev agents in the device. Thus, it would have b made to include living cells in the filaments and according to the specific need of the de	under PCT Arti devices comprisi ing host and a r CT Article 33(3 er, the reference een obvious to co s or devices as a	cle 35(2) as being anticipated by Tang et al.  ng a porous sheath of glycolic acid and a soli  nethod for their production.  ) as being obvious over Tang et al. (US 5,486  a does not teach the living cells from hair foli  one having ordinary skill in the art at the tim  n active agent motivated by the general know  nable expectation of success of the delivered	d core of glass or 3,598). The licles as the active the invention way ledge in the art
Claims 1-5, 11, 16, 17 and 26 lack novelty reference disclosed bioabsorbable fibers or citanium for introducing an agent into a live. Claims 1-86 lack an inventive step under Preference teachings discussed above. However, agents in the device. Thus, it would have be made to include living cells in the filaments and according to the specific need of the dedifferent kinds of implants.	under PCT Article vices comprising host and a record and	ng a porous sheath of glycolic acid and a solinethod for their production.  as being obvious over Tang et al. (US 5,486 does not teach the living cells from hair follone having ordinary skill in the art at the time active agent motivated by the general known	d core of glass or 3,598). The licles as the active the invention was vledge in the art device to be used a
Claims 1-5, 11, 16, 17 and 26 lack novelty reference disclosed bioabsorbable fibers or citanium for introducing an agent into a live.  Claims 1-36 lack an inventive step under Preference teachings discussed above. However, agents in the device. Thus, it would have be made to include living cells in the filaments and according to the specific need of the dedifferent kinds of implants.  Claims 1-36 meet the criteria set out in PC baldness well have a use in cosmetic medical Applicant traversing the written opinion on	under PCT Articlevices comprising host and a recomplete satisfies the reference of the recomplete satisfies with reason at the reference with reason at the recomplete satisfies at the recomplete sat	ng a porous sheath of glycolic acid and a solinethod for their production.  as being obvious over Tang et al. (US 5,486 does not teach the living cells from hair follone having ordinary skill in the art at the time active agent motivated by the general knownable expectation of success of the delivered	d core of glass or 3,598). The licles as the active the invention was vledge in the art device to be used a ing male pattern inventive steps of
Claims 1-5, 11, 16, 17 and 26 lack novelty reference disclosed bioabsorbable fibers or citanium for introducing an agent into a live. Claims 1-36 lack an inventive step under Preference teachings discussed above. However, agents in the device. Thus, it would have be made to include living cells in the filaments and according to the specific need of the dedifferent kinds of implants.  Claims 1-36 meet the criteria set out in PC baldness well have a use in cosmetic medical Applicant traversing the written opinion on claims 1-36 over Tang et al. by arguing the poly(glycolic acid).  In response to the above argument, the exacol. 19, line 9, where the reference disclosed	under PCT Article vices comprising host and a recomplishing host and a recomplishing host and a recomplishing host and a recomplishing to the reference of the	ng a porous sheath of glycolic acid and a solinethod for their production.  (as being obvious over Tang et al. (US 5,486 does not teach the living cells from hair follone having ordinary skill in the art at the time active agent motivated by the general knownable expectation of success of the delivered for industrial applicability. A device for treat of claims 1-5, 11, 16, 17 and 26 and lack of	d core of glass or 3,598). The licles as the active the invention was viedge in the art device to be used a sing male pattern inventive steps of nor the col. 18, line 24 till -11. Also, the

International application No.

PCT/US00/03488

## Suppl m ntal B x

(To b used when th space in any of th preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

## I. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1, 2, 4-7, and 9-20, as originally filed. page(s) NONE, filed with the demand. and additional amendments:

Pages 3 and 8, filed with the letter of 04 January 2001.

This report has been drawn on the basis of the claims, page(s) 22, 23, and 25, as originally filed. page(s) NONE, as amended under Article 19. page(s) NONE, filed with the demand. and additional amendments:

Pages 21, 24 and 26, filed with the letter of 04 January 2001.

This report has been drawn on the basis of the drawings, page(s) 1-9, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

surgery or other painful and expensive implantation techniques, preferably, a technique which produces hair which looks realistic and similar to other hair on the same host. The present invention utilizes a modified form of the bioabsorbable polymeric means developed for use in implantable devices, as described above, to deliver hair follicle cells transdermally and to promote the regeneration of hair therein.

As is shown in the next section, below, the present invention provides a new means for the introduction of agents into a living host, a means which offers several advantages over known means in use today, such as those described briefly above.

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## BRIEF SUMMARY OF THE INVENTION

The present invention provides a filamentary means for the introduction of agents into a living host, comprising a filament comprising a solid core and a porous sheath which coats at least a portion of the solid core. When the filamentary means is to be permanently implanted into a living host, both the solid core and the porous sheath are bioabsorbable. When the filamentary means is to be temporarily implanted into the skin of a living host to deliver agents, such as cells, therein, the porous sheath is preferably bioabsorbable but the core need only be biocompatible, not bioabsorabable.

The solid core is preferably wire when the filamentary means is designed to be used to deliver an agent, such as hair follicle cells, into the skin of a living host. The solid core is preferably glass or ceramic when the filamentary means is to be used to deliver an agent, such as cells or pharmaceutical agents, into bone through implantation of the filamentary means into the body of the host.

The porous sheath is preferably in the form of reticulated foam that is well adhered to the core but is capable of separating from the core after a period of several days in vivo. When the agent to be delivered with the filamentary means is a drug, the porous sheath is preferably in the form of a hydrogel and the porosity is on a molecular size scale.

The filamentary means of the present invention provides means for delivery of cells or other agents from outside the body of a living host into the skin of the host, such as a mammal, with minimal trauma to the host. When the filamentary means is comprised of a bioabsorbable core with a bioabsorbable porous sheath which coats at least a portion of the core, the filamentary means can be implanted into specific tissue within a living host and used to deliver agents to the specific tissue when implanted therein. The implantable embodiment of the filamentary means can serve as a surface for osteoblast

skin only long enough for the porous coating to soften and detach from the solid core, but not long enough for the epidermis (8) to grown down the outside of the filament.

FIG. 5b depicts the implant site after the filament core has been removed by pulling out the semi-rigid backing to which it was attached as shown in FIG. 5a. In this case, pulling out the semi-rigid backing and core has resulted in separation of the cell laden porous sheath (2) from the solid core. Sufficient time has elapsed that the epidermis (8) has grown over the implant site, the porous bioabsorbable coating has resorbed, and the implanted cultured cells (6) have survived and are functioning properly.

FIG. 6 is a schematic representation of filaments comprised of a solid core (1) and a porous coating (2) that are bonded together. The process that is utilized to create the bonds between the filaments, for example by heating and cooling, preferably is the same process that is used to create porosity in the coating

FIG. 7 is a scanning electron micrograph (SEM) of the device described in Example 1, at a scale of 1 mm.

FIG. 8 is an SEM of the device described in Example 1, viewing the wires on end showing the exposed tips of the wires and the surrounding coatings of porous, bioabsorbable polymer, at a scale of 100 μm.

FIG. 9 is an SEM of the end of a single wire of the device described in Example 1, showing the morphology of the porous coating, at a scale of 20  $\mu$ m.

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## DETAILED DESCRIPTION OF THE INVENTION

The present invention provides filamentary means for delivery of various agents into a living host, a means comprising a filament comprising a solid core and a bioabsorbable porous sheath. When the solid core is made of bioabsorbable material, it is preferably material selected from the group consisting of glass, ceramic, and polymeric material. When the solid core is made of a biocompatible material, it is preferably material selected from the group consisting of metals or alloys containing the elements of iron, nickel, aluminum, chromium, cobalt, titanium, vanadium, molybdenum, gold, and platinum. The core of the filamentary means is preferably made of bioabsorbable material when the filamentary means is to be used as or as part of an implant to be permanently implanted into the body of a living host. The core of the filamentary means is preferably made of biocompatible material when the filamentary means is to be used in the

## **CLAIMS**

- 1. A filamentary means for the introduction of an agent into a living host, comprising a filament comprising a solid core and a porous sheath, wherein the porous sheath comprises a bioabsorbable sheath polymer which coats at least a portion of the solid core.
- 2. The filamentary means of claim 1, wherein the solid core comprises a bioabsorbable material selected from the group consisting of a glass, a ceramic, and a polymer.

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3. The filamentary means of claim 1, wherein when the solid core is made of a biocompatible material selected from the group consisting of metals or alloys containing the elements of iron, nickel, aluminum, chromium, cobalt, titanium, vanadium, molybdenum, gold, and platinum.

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- 4. The filamentary means of claim 1, wherein the bioabsorbable sheath polymer is selected from the group consisting of poly(lactic acid), poly(glycolic acid), poly(trimethylene carbonate), poly(amino acid)s, tyrosine-derived poly(carbonate)s, poly(carbonate)s, poly(carbonate)s, poly(carbonate)s, poly(carbonate)s, poly(ester)s, poly(ester-amide)s, poly(anhydride)s, poly(ortho ester)s, collagen, gelatin, serum albumin, proteins, carbohydrates, poly(ethylene glycol)s, poly(propylene glycol)s, poly(acrylate ester)s, poly(methacrylate ester)s, poly(vinyl alcohol), and copolymers, blends and mixtures of said polymers.
- 25 5. The filamentary means of claim 1, further comprising an agent.
  - 6. The filamentary means of claim 5, wherein the agent is living cells.
- 7. The filamentary means of claim 6, wherein the living cells are obtained from hair 30 follicles.
  - 8. The filamentary means of claim 6, wherein the living cells are genetically engineered cells.

25. The method of claim 22, wherein the semi-rigid backing of embedded filaments is formed in step (b) according to the additional steps comprising:

inserting the first end of each filament into a mold containing holes that are spaced the same distance apart as hairs on the normal scalp and of a depth sufficient for the first end of each filament to penetrate the skin of a living host when embedded in the semi-rigid backing formed in the remaining steps below,

coating the second end of each filament protruding from the mold with a resin,

curing the resin into a solid polymer,

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covering the surface of the polymer with a puncture resistant adhesive tape, and

removing the resulting device, a semi-rigid backing with an array of the first end of filaments protruding therefrom, from the mold.

- 15 26. A device for implanting cells into the skin of a living host, comprising:
  - a) a plurality of filaments, wherein each filament has a first end and a second end, each filament comprising a biocompatible core and a bioabsorbable porous sheath which coats the core at least at the first end of each filament, and
  - b) a semi-rigid backing with the second end of each of the plurality of filaments embedded therein, such that the first end of each filament protrudes from the semi-rigid backing.
  - 27. The device of claim 25, wherein the device is designed for use in treating male pattern baldness, and the plurality of filaments protrude from the semi-rigid backing in a pattern which is the same as the pattern of hair growth in a normal human scalp.
    - 28. The device of claim 25, wherein the device is designed for use in implanting genetically modified cells into the skin of a living being, and the filaments protrude from the semi-rigid backing at a sufficient depth to implant the genetically modified cells into target tissue.
    - 29. A method of implanting cells into the skin of a living host, comprising the step of:

- a) providing a plurality of filaments, each filament comprising a solid bioabsorbable core and a porous sheath of a bioabsorbable polymer material coating the core,
- c) forming the plurality of filaments into a three dimensional matrix,
- d) bonding the filaments together.
- 35. A method of facilitating the growth of new bone comprising the steps of:
  - a) providing an implantable device comprising a plurality of filaments, each filament comprising a solid bioabsorbable core and a porous sheath of a bioabsorbable material coating the core, wherein the plurality of filaments have been formed into a three dimensional matrix and bonded together,
  - b) seeding the implantable device with osteoblasts or other osteogenic substances.
  - f) implanting the device in a site where bone regeneration is desired.

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- 36. A method of continuous delivery of drugs to a living body comprising the steps of:
  - a) providing a device comprising:

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a plurality of filaments, wherein each filament has a first end and a second end, wherein each filament comprises a biocompatible wire core coated by a bioabsorbable porous polymer sheath in which the drug is soluble and permeable, and

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a semi-rigid backing comprising a first surface and a reservoir, wherein the second end of each filament is fixed in the semi-rigid backing, such that the first end of each filament protrudes from the first surface and the second end of each filament is in contact with the reservoir;

- b) puncturing the skin of the living host with the first end of each filament; and
- c) introducing the drug to the living host through the reservoir of the semi-30 rigid backing and plurality of filaments in contact therewith.

# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



claims and to be republished in the event of the receipt of

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: WO 00/45736 (11) International Publication Number: A1 A61F 2/04, 2/06, C08J 9/26 (43) International Publication Date: 10 August 2000 (10.08.00) PCT/US00/03488 (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, (21) International Application Number: BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, 8 February 2000 (08.02.00) (22) International Filing Date: KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, (30) Priority Data: US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, 8 February 1999 (08.02.99) US 60/119,082 LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, (71) Applicant (for all designated States except US): BIOAMIDE, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, INC. [US/US]; 15270 67th Street South, Hastings, MN GA, GN, GW, ML, MR, NE, SN, TD, TG). 55033-9173 (US). (72) Inventor; and Published (75) Inventor/Applicant (for US only): BARROWS, Thomas, H. With international search report. [US/US]; 1796 Fairview Drive, Austell, GA 30106 (US). Before the expiration of the time limit for amending the

amendments.

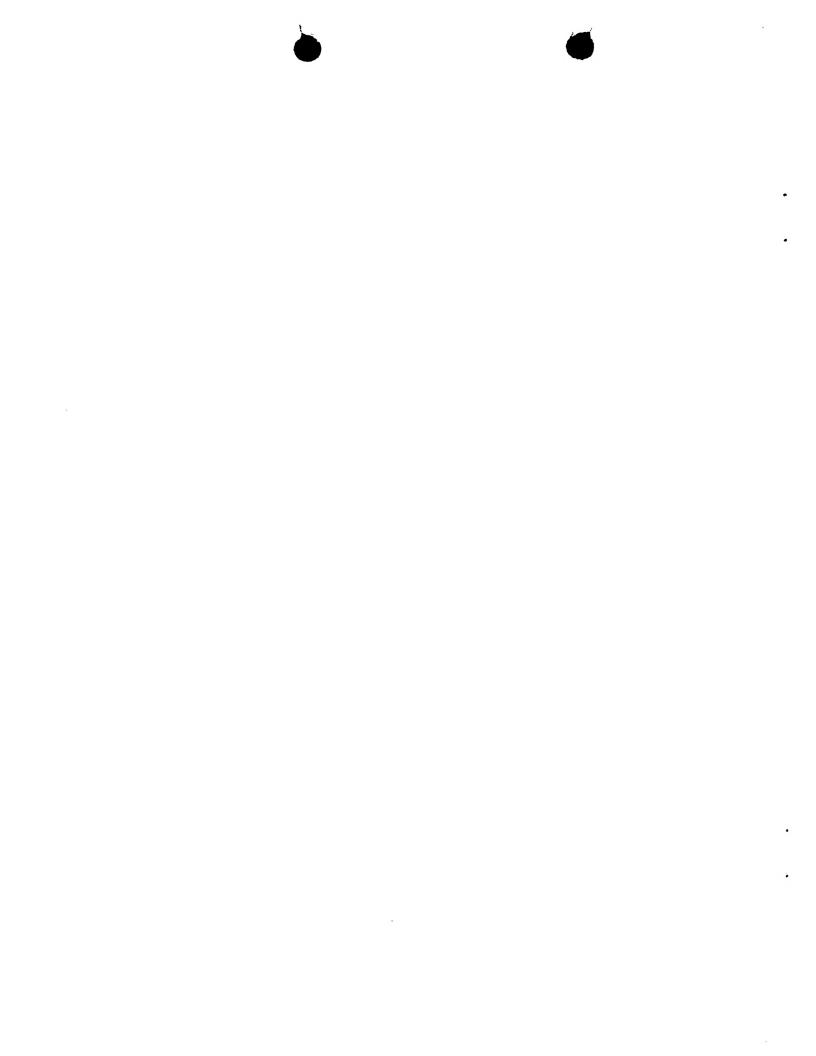
(54) Title: FILAMENTARY MEANS FOR INTRODUCING AGENTS INTO CELLS

(74) Agents: WELCH, Teresa, J. et al.; Michael Best & Friedrich LLP, Suite 700, One South Pinckney Street, P.O. Box 1806,

Madison, WI 53701-1806 (US).

#### (57) Abstract

The present invention is directed to filamentary means for the delivery of agents into a living host, and methods for making and using the same. More specifically, the present invention provides new and useful fibers and methods of use of such fibers to implant living cells and other agents into specific tissues, including skin and bone, for the purpose of tissue and organ regeneration, site-specific drug release, transdermal drug delivery, and gene therapy.



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/03488

A. CLASSIFICATION OF SUBJECT MATTER  IPC(7) :A61F 2/04, 2/06; C08J 9/26						
US CL: :600/36; 623/1; 521/61; 528/370 According to International Patent Classification (IPC) or to both national classification and IPC						
B. FIELDS SEARCHED						
Minimum documentation searched (classification system followed by classification symbols)						
U.S. : 600/36; 623/1; 521/61; 528/370						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE						
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST AND EAST ALL DATA BASE filament, core, polymer, sheath, drug						
C. DOC	UMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.			
Y,P	US 5,997,468 A (WOLFF et al.) 07 D col.2, line 33; col. 6, lines 1-6, 49-5, col. 9, lines 63-67.	ecember 1999, col. 1, line 66 4; col. 7, lines 25-26, 48-53	5 1-36			
Y,P	US 5,993,374 A (KICK) 30 November 63 - col. 4, line 12; col. 18, lines 20-30 col. 21, lines 52-67; col. 22, Lines 22	1-36				
Y,P	US 5,898,040 A (SHALABY et al.) 27 col. 5, line 27; col. 8, line 57 - col.	1-36				
Y	US 5,486,593 A (TANG et al.) 23 January 23 January 24-33, 46-67; col. 19, li	1-36				
	er documents are listed in the continuation of Box C	See patent family annex.				
	ecial categories of cited documents:	•T• later document published after the sides and not in conflict with the si	nternational filing date or priority			
*A* do	cument defining the general state of the art which is not considered	the principle or theory underlying	the invention			
	be of particular relevance ther document published on or after the international filing date	"X" document of particular relevance; considered novel or cannot be cons	the claimed invention cannot be idered to involve an inventive step			
"L" do	cument which may throw doubts on priority claim(s) or which is ed to establish the publication date of another citation or other scial reason (as specified)	when the document is taken alone  "Y" document of particular relevance; considered to involve an inventi	AN STOD MINU ILS COCCUIIAIN IN			
*O* do	cument referring to an oral disclosure, use, exhibition or other	combined with one or more other s being obvious to a person skilled i	uch documents, such computations in the art			
*P" document published prior to the international filing date but later than "a." document member of the same patent family the priority date claimed						
Date of the actual completion of the international search  10 MAY 2000  Date of mailing of the international search  13 JUN 2000						
Name and r Commissio Box PCT	nailing address of the ISA/US ner of Patents and Trademarks	Authorized officer JOYC PARALE	X BRIDGERS GAL SPECIALIST AICAL MATRIX			

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# PATENT COOPERATION TREATY

# **PCT**

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 16230-9004	FOR FURTHER ACTION	See Notification of Transmittal of Atternational Preliminary Examination Report (Form		
International application No.	International filing date (day)	/month/year)   Priority date (day/month/year)		
PCT/US00/03488	08 FEBRUARY 2000	os FEBRUARY 1999		
International Patent Classification (IPC IPC(7): A61F 2/04, 2/06; C08G 65/8				
Applicant BIOAMIDE, INC.				
	nary examination report has a transmitted to the applicant	been prepared by this International Preliminary t according to Article 36.		
2. This REPORT consists of a	total of sheets.			
been amended and are the	npanied by/ANNEXES, i.e., she ne basis for this report and/or sl ion 607 of the Administrative l	eets of the description, claims and/or drawings which have neets containing rectifications made before this Authority. Instructions under the PCT).		
These annexes consist of a to	tal of sheets.			
3. This report contains indication	as relating to the following i	tems:		
I X Basis of the repo	rt			
II Priority				
III Non-establishme	nt of report with regard to n	ovelty, inventive step or industrial applicability		
IV Lack of unity of	invention			
V X Reasoned statemen citations and expla	nt under Article 35(2) with regunations supporting such statem	ard to novelty, inventive step or industrial applicability;		
VI Certain documents	cited			
VII Certain defects in the international application				
VIII Certain observations on the international application				
<del></del>				
Date of submission of the demand	Date	of completion of this report		
07 SEPTEMBER 2000	1	2 FEBRUARY 2001		
Name and mailing address of the IPEA	'US Auth	orized officerally (2 14 dals)		
Commissioner of Patents and Tradem Box PCT		sis GHALII LON		
Washington, D.C. 20231 Facsimile No. (703) 305-3230		phone No. (703) 308-1235		
()	1	(100) 000 1200		

International application No.

PCT/US00/03488

L Basis of the report						
1. With regard to the elements of the international application:*						
the international application as originally filed						
x the description:						
pages (See Attached)	, as originally filed					
pages						
pages, filed with the letter of						
X the claims:						
pages (See Attached)	as originally filed					
pages, as amended (together with a						
pages	, filed with the demand					
pages, filed with the letter of						
X the drawings: pages (See Attached)						
pages, filed with the letter of						
, mod with the letter of						
x the sequence listing part of the description:						
pages (See Attached)	, as originally filed					
pages	, filed with the demand					
pages, filed with the letter of						
<ol> <li>With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item. These elements were available or furnished to this Authority in the following language which is:</li> </ol>						
the language of a translation furnished for the purposes of international search	ch (under Rule 23.1(b)).					
the language of publication of the international application (under Rule 48.3)	(b)).					
the language of the translation furnished for the purposes of international preliminary or 55.3).	examination (under Rules 55.2 and/					
3. With regard to any nucleotide and/or amino acid sequence disclosed in the internation preliminary examination was carried out on the basis of the sequence listing:	ional application, the international					
contained in the international application in printed form.						
filed together with the international application in computer readable form.						
furnished subsequently to this Authority in written form.						
furnished subsequently to this Authority in computer readable form.						
The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.						
The statement that the information recorded in computer readable form is identical to the writen sequence listing has been furnished.						
4. X The amendments have resulted in the cancellation of:						
X the description, pages NONE						
X the claims, NosNONE						
X the drawings, sheets/fig NONE						
	than been been sensited to be					
5. This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**						
* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).						
**Any replacement sheet containing such amendments must be referred to under item 1 as	nd annexed to this report.					

International application No.

PCT/US00/03488

## Supplemental B x

(To be used when the space in any of the preceding boxes is not sufficient)

## Continuation of: Boxes I - VIII

Sheet 10

### I. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1, 2, 4-7, and 9-20, as originally filed. page(s) NONE, filed with the demand. and additional amendments:

Pages 3 and 8, filed with the letter of 04 January 2001.

This report has been drawn on the basis of the claims, page(s) 22, 23, and 25, as originally filed. page(s) NONE, as amended under Article 19. page(s) NONE, filed with the demand. and additional amendments:

Pages 21, 24 and 26, filed with the letter of 04 January 2001.

This report has been drawn on the basis of the drawings, page(s) 1-9, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

surgery or other painful and expensive implantation techniques, preferably, a technique which produces hair which looks realistic and similar to other hair on the same host. The present invention utilizes a modified form of the bioabsorbable polymeric means developed for use in implantable devices, as described above, to deliver hair follicle cells transdermally and to promote the regeneration of hair therein.

As is shown in the next section, below, the present invention provides a new means for the introduction of agents into a living host, a means which offers several advantages over known means in use today, such as those described briefly above.

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## BRIEF SUMMARY OF THE INVENTION



The present invention provides a filamentary means for the introduction of agents into a living host, comprising a filament comprising a solid core and a porous sheath which coats at least a portion of the solid core. When the filamentary means is to be permanently implanted into a living host, both the solid core and the porous sheath are bioabsorbable. When the filamentary means is to be temporarily implanted into the skin of a living host to deliver agents, such as cells, therein, the porous sheath is preferably bioabsorbable but the core need only be biocompatible, not bioabsorabable.

The solid core is preferably wire when the filamentary means is designed to be used to deliver an agent, such as hair follicle cells, into the skin of a living host. The solid core is preferably glass or ceramic when the filamentary means is to be used to deliver an agent, such as cells or pharmaceutical agents, into bone through implantation of the filamentary means into the body of the host.

The porous sheath is preferably in the form of reticulated foam that is well adhered to the core but is capable of separating from the core after a period of several days in vivo. When the agent to be delivered with the filamentary means is a drug, the porous sheath is preferably in the form of a hydrogel and the porosity is on a molecular size scale.

The filamentary means of the present invention provides means for delivery of cells or other agents from outside the body of a living host into the skin of the host, such as a mammal, with minimal trauma to the host. When the filamentary means is comprised of a bioabsorbable core with a bioabsorbable porous sheath which coats at least a portion of the core, the filamentary means can be implanted into specific tissue within a living host and used to deliver agents to the specific tissue when implanted therein. The implantable embodiment of the filamentary means can serve as a surface for osteoblast



skin only long enough for the porous coating to soften and detach from the solid core, but not long enough for the epidermis (8) to grown down the outside of the filament.

FIG. 5b depicts the implant site after the filament core has been removed by pulling out the semi-rigid backing to which it was attached as shown in FIG. 5a. In this case, pulling out the semi-rigid backing and core has resulted in separation of the cell laden porous sheath (2) from the solid core. Sufficient time has elapsed that the epidermis (8) has grown over the implant site, the porous bioabsorbable coating has resorbed, and the implanted cultured cells (6) have survived and are functioning properly.

FIG. 6 is a schematic representation of filaments comprised of a solid core (1) and a porous coating (2) that are bonded together. The process that is utilized to create the bonds between the filaments, for example by heating and cooling, preferably is the same process that is used to create porosity in the coating

FIG. 7 is a scanning electron micrograph (SEM) of the device described in Example 1, at a scale of 1 mm.

FIG. 8 is an SEM of the device described in Example 1, viewing the wires on end showing the exposed tips of the wires and the surrounding coatings of porous, bioabsorbable polymer, at a scale of 100 µm.

FIG. 9 is an SEM of the end of a single wire of the device described in Example 1, showing the morphology of the porous coating, at a scale of 20  $\mu m$ .

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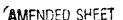
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# DETAILED DESCRIPTION OF THE INVENTION

The present invention provides filamentary means for delivery of various agents into a living host, a means comprising a filament comprising a solid core and a bioabsorbable porous sheath. When the solid core is made of bioabsorbable material, it is preferably material selected from the group consisting of glass, ceramic, and polymeric material. When the solid core is made of a biocompatible material, it is preferably material selected from the group consisting of metals or alloys containing the elements of iron, nickel, aluminum, chromium, cobalt, titanium, vanadium, molybdenum, gold, and platinum. The core of the filamentary means is preferably made of bioabsorbable material when the filamentary means is to be used as or as part of an implant to be permanently implanted into the body of a living host. The core of the filamentary means is preferably made of biocompatible material when the filamentary means is to be used in the



## **CLAIMS**

- 1. A filamentary means for the introduction of an agent into a living host, comprising a filament comprising a solid core and a porous sheath, wherein the porous sheath comprises a bioabsorbable sheath polymer which coats at least a portion of the solid core.
- 2. The filamentary means of claim 1, wherein the solid core comprises a bioabsorbable material selected from the group consisting of a glass, a ceramic, and a polymer.

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3. The filamentary means of claim 1, wherein when the solid core is made of a biocompatible material selected from the group consisting of metals or alloys containing the elements of iron, nickel, aluminum, chromium, cobalt, titanium, vanadium, molybdenum, gold, and platinum.

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- 4. The filamentary means of claim 1, wherein the bioabsorbable sheath polymer is selected from the group consisting of poly(lactic acid), poly(glycolic acid), poly(trimethylene carbonate), poly(amino acid)s, tyrosine-derived poly(carbonate)s, poly(carbonate)s, poly(carbonate)s, poly(carbonate)s, poly(carbonate)s, poly(ester)s, poly(ester)s, poly(ester)s, poly(anhydride)s, poly(ortho ester)s, collagen, gelatin, serum albumin, proteins, carbohydrates, poly(ethylene glycol)s, poly(propylene glycol)s, poly(acrylate ester)s, poly(methacrylate ester)s, poly(vinyl alcohol), and copolymers, blends and mixtures of said polymers.
- 25 5. The filamentary means of claim 1, further comprising an agent.
  - 6. The filamentary means of claim 5, wherein the agent is living cells.
- 7. The filamentary means of claim 6, wherein the living cells are obtained from hair 30 follicles.
  - 8. The filamentary means of claim 6, wherein the living cells are genetically engineered cells.

9. The filamentary means of claim 6, wherein the living cells are encapsulated.

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- 10. The filamentary means of claim 5, wherein the agent is cell signaling molecules.
- 11. The filamentary means of claim 5, wherein the agent is selected from the group consisting of: growth factors, drugs, recombinant molecules, cell recognition factors, cell binding site molecules, cell attachment molecules, cell adhesion molecules, proteins, glycoproteins, carbohydrates, naturally occurring polymers, synthetic polymers, semi-synthetic polymers, and recombinant polymers.
- 12. The filamentary means of claim 5, wherein the agent is coated on the outer surface of the porous sheath.
- 15 13. The filamentary means of claim 5, wherein the agent is mixed, dissolved, or imbedded within the porous sheath.
  - 14. The filamentary means of claim 1, wherein porous sheath defines open pores which are substantially interconnected and large enough to admit the agent.
  - 15. The filamentary means of claim 13, wherein the open pores are large enough to admit molecules ranging in molecular weight from about 500 to about 100,000 Daltons.
- 16. A method of making a filamentary means for introducing an agent into a living host, comprising the steps of:
  - a) providing a filamentary solid core,
  - b) providing a bioabsorbable polymer,
  - c) providing a pore-forming agent,
  - d) mixing said bioabsorbable polymer with the pore-forming agent,
- 30 e) coating said mixture onto the solid core, and
  - f) substantially removing or decomposing the pore-forming agent.
  - 17. The method of claim 15, wherein the bioabsorbable polymer is poly(L/DL-lactide).

25. The method of claim 22, wherein the semi-rigid backing of embedded filaments is formed in step (b) according to the additional steps comprising:

inserting the first end of each filament into a mold containing holes that are spaced the same distance apart as hairs on the normal scalp and of a depth sufficient for the first end of each filament to penetrate the skin of a living host when embedded in the semi-rigid backing formed in the remaining steps below,

coating the second end of each filament protruding from the mold with a resin,

curing the resin into a solid polymer,

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covering the surface of the polymer with a puncture resistant adhesive tape, and

removing the resulting device, a semi-rigid backing with an array of the first end of filaments protruding therefrom, from the mold.

- 15 26. A device for implanting cells into the skin of a living host, comprising:
  - a) a plurality of filaments, wherein each filament has a first end and a second end, each filament comprising a biocompatible core and a bioabsorbable porous sheath which coats the core at least at the first end of each filament, and
  - b) a semi-rigid backing with the second end of each of the plurality of filaments embedded therein, such that the first end of each filament protrudes from the semi-rigid backing.
- 27. The device of claim 25, wherein the device is designed for use in treating male pattern baldness, and the plurality of filaments protrude from the semi-rigid backing in a
   25 pattern which is the same as the pattern of hair growth in a normal human scalp.
  - 28. The device of claim 25, wherein the device is designed for use in implanting genetically modified cells into the skin of a living being, and the filaments protrude from the semi-rigid backing at a sufficient depth to implant the genetically modified cells into target tissue.
  - 29. A method of implanting cells into the skin of a living host, comprising the step of :